

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

E.h.g. 10/20/03

#4

Docket No. A01197 MMB/yv

In re application of:

Carlos Alfonso Cruz, et al.

1713

Serial No.:

10/082,393

Group Art: 1711

Confirmation No.: 4006

Examiner: unknown

Filed: February 25, 2002

For: PROCESSES FOR PREPARING:

NON-GELLING HIGH POLYMER: COMPOSITIONS AND THERMO-: PLASTIC BLENDS THEREOF X

Mail Stop NON-FEE AMENDMENT **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

In accordance with the requirements of 37 C.F.R. §§ 1.97 and 1.98, applicants and their attorneys wish to make of record the following patents and patent references, copies of which are provided herewith, in connection with the above-identified application. The citation of the following patents and patent references should not be construed as an admission that any of them constitute statutory prior art relative to the present application.

U.S. Patents

3,062,765

3,833,686*

4,086,296

4,107,114**

5,506,307*

6,031,047

Foreign References

EP 0 313 849** EP 0 697 423** FR 2 328 505**

The above-listed patents and patent references that are designated with an asterisk (*) are discussed in the specification of the present application. The above-listed patents and patent references that are designated with a double asterisk (**) have been cited in a European Search Report issued in connection with applicants' corresponding European Application No. 02 25 1051, filed February 15, 2002. A copy of the aforesaid European Search Report is enclosed herewith and provides an indication of the relevance of the patents and patent documents cited therein. In addition, it is noted that FR 2 328 505 is a non-English document. US Patent No. 4,107,114 corresponds to FR 2 328 505 and is, therefore, being cited and provided herewith to provide the Examiner with an English language version of FR 2 328 505. Applicants and their attorney are not currently in possession of an English-language translation of EP 0 313 849, but will be happy to provide such a translation upon the Examiner's request.

Applicants also take this opportunity to notify the Examiner of the existence of the following co-pending, commonly assigned U.S. patent application, which may be considered relevant to the present invention and a copy of which is submitted herewith for the Examiner's convenience and consideration:

U.S. Serial No. 10/082,274

Entitled: NON-GELLING HIGH POLYMER COMPOSITIONS
AND THERMOPLASTIC BLENDS THEREOF

Filed: February 25, 2002

It is noted that the remaining three above-listed patents, i.e., US Patent Nos. 3,062,765, 4,086,296 and 6,031,047, have been cited during prosecution of the above-listed co-pending commonly assigned U.S. patent application (i.e., US Serial No.

(Atty Docket: A01198)

10/082,274) and are, therefore, being cited and provided herewith for the sake of ensuring complete disclosure.

A completed Form PTO-1449 is being provided (in duplicate) herewith to facilitate the Examiner's consideration and entry of the above-listed patents and patent references in connection with the present application.

The filing of this Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information as defined in 37 C.F.R. § 1.56(a) exists. The Examiner may find the enclosed documents to be relevant to the invention of the present application.

No fees are believed to be due in connection with the submission of this Information Disclosure Statement, since it is believed that it is being submitted prior to the mailing date of a first Office Action on the merits for the present application. If, however, any such fees, including petition and extension fees, are due in connection with the submission of this Information Disclosure Statement, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 18-1850. In the meantime, please direct all future correspondence relating to the present application to the undersigned attorney.

Respectfully Submitted,

Data:

Rohm and Haas Company 100 Independence Mall West Philadelphia, Pa. 19106-2399 Marcella M. Bodner Attorney for Applicants

Reg. No. 46,561 Telephone (215) 592-3025

PATENT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty Docket No.: A01197

MMB/yv

In re Application of: Carlos Alfonso Cruz, et al.

1713

Serial No.: 10/082,393

Group Art Unit: 1711

Confirmation No. 4006

Filed: 02/25/2002

Examiner: Not yet assigned

For:

PROCESSES FOR PREPARING NON-GELLING HIGH POLYMER

COMPOSITIONS AND THERMOPLASTIC BLENDS THEREOF

MAIL STOP NON-FEE AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as first-class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated next to my signature below:

> Information Disclosure Statement List of Cited Art by Applicant (in duplicate) Cited Art Return Receipt Postcard

09/17/03	yette Viglanese			
Date	Signature			

SP 1 2 2003				
PAREMAR				

LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

	Sheet 1 of 1
Atty Docket No.	Serial No.
A01197 10/082,393	
Applicant	
Carlos Alf	onso Cruz, et al.
Filing Date	Group
02/25/2002	1711

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	DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE I
AA	3,062,765	11/06/1962	Sherman, et al.		
AB	3,833,686	09/03/1974	Grochowski, et al.		
AC	4,086,296	04/25/1978	Carty, et al.		
AD	4,107,114	08/15/1978	Nakayama, et al.		
AE	5,506,307	04/09/1996	Memon, et al.		
AF	6,031,047	02/29/2000	Brady, et al.		
AG					
AH					
Al				·	
AJ					
AK					
	DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No
AL	0 313 849 B1	24.04.1991	Europe		
AM	0 697 423 B1	20.01.1999	Europe		
AN	2 328 505	22.10.1976	France		
AO					
AP					
	OTHER ART (In	cluding Autho	or, Title, Date, Pertinent P	Patents, etc.)	-
AR					
AS					
AT					
			Date Considered		· <u> </u>
	AC AD AE AF AG AH AI AJ AK AL AM AN AO AP AR AS	AA 3,062,765 AB 3,833,686 AC 4,086,296 AD 4,107,114 AE 5,506,307 AF 6,031,047 AG AH AI AJ AK DOCUMENT NUMBER AL 0 313 849 B1 AM 0 697 423 B1 AN 2 328 505 AO AP OTHER ART (In	AA 3,062,765 11/06/1962 AB 3,833,686 09/03/1974 AC 4,086,296 04/25/1978 AD 4,107,114 08/15/1978 AE 5,506,307 04/09/1996 AF 6,031,047 02/29/2000 AG AH AI	DOCUMENT DATE NAME	DOCUMENT NUMBER DATE NAME Class/Subclass

*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

Rohm and Haas Company Modified Form PTO 1449